

Finance Sub-Committee

Wednesday 27 July 2022 at 2.00 pm

**To be held in the Town Hall,
Pinstone Street, Sheffield, S1 2HH**

The Press and Public are Welcome to Attend

Membership

Councillor Bryan Lodge
Councillor Zahira Naz
Councillor Mike Lavery
Councillor Maroof Raouf
Councillor Mike Chaplin
Councillor Marieanne Elliot
Councillor Shaffaq Mohammed
Councillor Joe Otten

PUBLIC ACCESS TO THE MEETING

The Finance Sub-Committee is established as a sub-committee of the Strategy and Resources Policy Committee.

It can take decisions in respect of the following Finance and Property matters which are otherwise reserved to the Strategy and Resources Policy Committee:

- a. Strategic financial overview
- b. Property decisions
- c. Accountable Body decisions
- d. Corporate Revenue and Capital monitoring and capital allocations

Meetings are chaired by the Sub-Committee's Co-Chairs - Councillors Lodge and Naz.

A copy of the agenda and reports is available on the Council's website at www.sheffield.gov.uk. You may not be allowed to see some reports because they contain confidential information. These items are usually marked * on the agenda. Members of the public have the right to ask questions or submit petitions to Policy Committee and Sub-Committee meetings and recording is allowed under the direction of the Chair. Please see the [Finance Sub-Committee webpage](#) or contact Democratic Services for further information regarding public questions and petitions and details of the Council's protocol on audio/visual recording and photography at council meetings.

Policy and Sub-Committee meetings are normally open to the public but sometimes the Committee may have to discuss an item in private. If this happens, you will be asked to leave. Any private items are normally left until last on the agenda.

Meetings of the Sub-Committee have to be held as physical meetings. If you would like to attend the meeting, please report to an Attendant in the Foyer at the Town Hall where you will be directed to the meeting room. However, it would be appreciated if you could register to attend, in advance of the meeting, by emailing committee@sheffield.gov.uk, as this will assist with the management of attendance at the meeting. The meeting rooms in the Town Hall have a limited capacity. We are unable to guarantee entrance to the meeting room for observers, as priority will be given to registered speakers and those that have registered to attend.

Alternatively, you can observe the meeting remotely by clicking on the 'view the webcast' link provided on the meeting page of the [website](#).

If you wish to attend a meeting and ask a question or present a petition, you must submit the question/petition in writing by 9.00 a.m. at least 2 clear days in advance of the date of the meeting, by email to the following address:
committee@sheffield.gov.uk.

In order to ensure safe access and to protect all attendees, you will be recommended to wear a face covering (unless you have an exemption) at all times within the venue. Please do not attend the meeting if you have COVID-19 symptoms. It is also recommended that you undertake a Covid-19 Rapid Lateral Flow Test within two days of the meeting.

If you require any further information please email committee@sheffield.gov.uk.

FACILITIES

There are public toilets available, with wheelchair access, on the ground floor of the Town Hall. Induction loop facilities are available in meeting rooms. Access for people with mobility difficulties can be obtained through the ramp on the side to the main Town Hall entrance.

**FINANCE SUB-COMMITTEE AGENDA
27 JULY 2022**

Order of Business

- 1. Welcome and Housekeeping**
The Chair to welcome attendees to the meeting and outline basic housekeeping and fire safety arrangements.
- 2. Apologies for Absence**
- 3. Exclusion of Press and Public**
To identify items where resolutions may be moved to exclude the press and public
- 4. Declarations of Interest** (Pages 5 - 8)
Members to declare any interests they have in the business to be considered at the meeting
- 5. Minutes of Previous Meeting** (Pages 9 - 12)
To approve the minutes of the last meeting of the Sub-Committee held on 7 June 2022
- 6. Public Questions and Petitions**
To receive any questions or petitions from members of the public

Formal Decisions

- 7. Budget Monitoring and Financial Position Month 3, 2022/23** (Pages 13 - 34)
Report of the Executive Director, Resources
- 8. Rough Sleepers Accommodation Programme** (Pages 35 - 56)
Report of Executive Director of Operational Services

NOTE: The next meeting of Finance Sub-Committee will be held on Tuesday 6 September 2022 at 2.00 pm

ADVICE TO MEMBERS ON DECLARING INTERESTS AT MEETINGS

If you are present at a meeting of the Council, of its Policy Committees, or of any committee, sub-committee, joint committee, or joint sub-committee of the authority, and you have a **Disclosable Pecuniary Interest** (DPI) relating to any business that will be considered at the meeting, you must not:

- participate in any discussion of the business at the meeting, or if you become aware of your Disclosable Pecuniary Interest during the meeting, participate further in any discussion of the business, or
- participate in any vote or further vote taken on the matter at the meeting.

These prohibitions apply to any form of participation, including speaking as a member of the public.

You **must**:

- leave the room (in accordance with the Members' Code of Conduct)
- make a verbal declaration of the existence and nature of any DPI at any meeting at which you are present at which an item of business which affects or relates to the subject matter of that interest is under consideration, at or before the consideration of the item of business or as soon as the interest becomes apparent.
- declare it to the meeting and notify the Council's Monitoring Officer within 28 days, if the DPI is not already registered.

If you have any of the following pecuniary interests, they are your **disclosable pecuniary interests** under the new national rules. You have a pecuniary interest if you, or your spouse or civil partner, have a pecuniary interest.

- Any employment, office, trade, profession or vocation carried on for profit or gain, which you, or your spouse or civil partner undertakes.
- Any payment or provision of any other financial benefit (other than from your council or authority) made or provided within the relevant period* in respect of any expenses incurred by you in carrying out duties as a member, or towards your election expenses. This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992.

*The relevant period is the 12 months ending on the day when you tell the Monitoring Officer about your disclosable pecuniary interests.

- Any contract which is made between you, or your spouse or your civil partner (or a body in which you, or your spouse or your civil partner, has a beneficial interest) and your council or authority –
 - under which goods or services are to be provided or works are to be executed; and
 - which has not been fully discharged.

- Any beneficial interest in land which you, or your spouse or your civil partner, have and which is within the area of your council or authority.
- Any licence (alone or jointly with others) which you, or your spouse or your civil partner, holds to occupy land in the area of your council or authority for a month or longer.
- Any tenancy where (to your knowledge) –
 - the landlord is your council or authority; and
 - the tenant is a body in which you, or your spouse or your civil partner, has a beneficial interest.
- Any beneficial interest which you, or your spouse or your civil partner has in securities of a body where -
 - (a) that body (to your knowledge) has a place of business or land in the area of your council or authority; and
 - (b) either -
 - the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or
 - if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which you, or your spouse or your civil partner, has a beneficial interest exceeds one hundredth of the total issued share capital of that class.

If you attend a meeting at which any item of business is to be considered and you are aware that you have a **personal interest** in the matter which does not amount to a DPI, you must make verbal declaration of the existence and nature of that interest at or before the consideration of the item of business or as soon as the interest becomes apparent. You should leave the room if your continued presence is incompatible with the 7 Principles of Public Life (selflessness; integrity; objectivity; accountability; openness; honesty; and leadership).

You have a personal interest where –

- a decision in relation to that business might reasonably be regarded as affecting the well-being or financial standing (including interests in land and easements over land) of you or a member of your family or a person or an organisation with whom you have a close association to a greater extent than it would affect the majority of the Council Tax payers, ratepayers or inhabitants of the ward or electoral area for which you have been elected or otherwise of the Authority's administrative area, or
- it relates to or is likely to affect any of the interests that are defined as DPIs but are in respect of a member of your family (other than a partner) or a person with whom you have a close association.

Guidance on declarations of interest, incorporating regulations published by the Government in relation to Disclosable Pecuniary Interests, has been circulated to you previously.

You should identify any potential interest you may have relating to business to be considered at the meeting. This will help you and anyone that you ask for advice to fully consider all the circumstances before deciding what action you should take.

In certain circumstances the Council may grant a **dispensation** to permit a Member to take part in the business of the Authority even if the member has a Disclosable Pecuniary Interest relating to that business.

To obtain a dispensation, you must write to the Monitoring Officer at least 48 hours before the meeting in question, explaining why a dispensation is sought and desirable, and specifying the period of time for which it is sought. The Monitoring Officer may consult with the Independent Person or the Council's Standards Committee in relation to a request for dispensation.

Further advice can be obtained from Gillian Duckworth, Director of Legal and Governance on 0114 2734018 or email gillian.duckworth@sheffield.gov.uk.

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Finance Sub-Committee

Meeting held 7 June 2022

PRESENT: Councillors Bryan Lodge (Co-Chair), Zahira Naz (Co-Chair), Mike Levery (Deputy Chair), Maroof Raouf (Group Spokesperson), Mike Chaplin, Marieanne Elliot, Shaffaq Mohammed and Joe Otten

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1. APOLOGIES FOR ABSENCE

1.1 There were no apologies for absence.

2. EXCLUSION OF PRESS AND PUBLIC

2.1 No items were identified where resolutions may be moved to exclude the public and press

3. DECLARATIONS OF INTEREST

3.1 There were no declarations of interest made at the meeting.

4. PUBLIC QUESTIONS AND PETITIONS

4.1 No petitions or questions from members of the public had been received.

5. BUDGET MONITORING, FINANCIAL POSITION AND BUDGET TIMETABLE, MONTH 1, 2022/23

5.1 This report brings the Committee up to date with the Council's financial position as at Month 1 2022/23. The report also reports the proposed budget timetable for the development of the 2023/24 budget

5.2 **RESOLVED UNANIMOUSLY:** That the Finance Sub-Committee:-

(i) notes the Council's challenging financial position and the Month 1 position;

(ii) notes that each Policy Committee will receive detailed budget monitoring for their areas of responsibility at their meetings in June 2022 and will also be advised of the budget timetable including the requirement for each Policy Committee to plan to develop budget proposals over the course of the summer;

(iii) notes that the Strategy and Resources Committee agreed at its 31 May 2022 meeting to "require any Policy Committee that is forecasting an overspend on their budget to develop an action plan to address the overspend in-year and ask the Finance Sub-Committee to monitor both the development of any required action plans and delivery against them"; and

(iv) requests that all Policy Committees give fresh consideration to any budget saving proposals that were submitted as part of last year's budget process, but not taken forward.

5.3 Reasons for Decision

- 5.3.1 Under section 25 of the Local Government Act 2003, the Chief Finance Officer of an authority is required to report on the following matters:
- the robustness of the estimates made for the purposes of determining its budget requirement for the forthcoming year; and
 - the adequacy of the proposed financial reserves.
- 5.3.2 There is also a requirement for the authority to have regard to the report of the Chief Finance Officer when making decisions on its budget requirement and level of financial reserves.
- 5.3.3 By the law the Council must set and deliver a balanced budget, which is a financial plan based on sound assumptions which shows how income will equal spend over the short- and medium-term. This can take into account deliverable cost savings and/or local income growth strategies as well as useable reserves. However, a budget will not be balanced where it reduces reserves to unacceptably low levels and regard must be had to any report of the Chief Finance Officer on the required level of reserves under section 25 of the Local Government Act 2003, which sets obligations of adequacy on controlled reserves.

5.4 **Alternatives Considered and Rejected**

- 5.4.1 The Council is required to both set a balance budget and to ensure that in-year income and expenditure are balanced. No other alternatives were considered.

6. **CAPITAL APPROVALS FOR MONTH 01 2022/23**

- 6.1 The report provides details of proposed changes to the existing Capital Programme relating to other committees, new additions in relation to Strategy and Resources Committee and one urgent new approval in relation to Education, Children & Families Committee as brought forward in Month 01 2020/21.

- 6.2 In addition, the report provides details of the changes to the capital programme required as part of the year end close down process requiring formal ratification

- 6.3 **RESOLVED UNANIMOUSLY:** That the Finance Sub-Committee:-

(i) approves the proposed additions and variations to the Capital Programme listed in Appendix 1;

(ii) approves the budget adjustments required as part of the financial year end close down procedure as detailed in Appendix 2

- Slippage of expenditure of projects in delivery from 21/22 to 22/23 - £29.1m
- Re-profiling of schemes not in delivery from 21/22 to 22/23 – £13.9m
- Accelerated expenditure of projects in delivery from 21/22 to 22/23 of £0.8m
- Overspends – net additions to the programme £3.1m
- Underspends - £6.6m
- Internal Adjustments (including reallocation of underspends) - £2.9m

(iii) approves the council entering into agreements to provide funding to 3rd parties

as identified in Appendix 3

6.4 Reasons for Decision

6.4.1 The proposed changes to the Capital programme will improve the services to the people of Sheffield.

6.4.2 To formally record changes to the Capital Programme and gain Member approval for changes in line with Financial Regulations and to reset the capital programme in line with latest information.

6.5 Alternatives Considered and Rejected

6.5.1 A number of alternative courses of action are considered as part of the process undertaken by Officers before decisions are recommended to Members. The recommendations made to Members represent what Officers believe to be the best options available to the Council, in line with Council priorities, given the constraints on funding and the use to which funding is put within the Revenue Budget and the Capital Programme.

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Report to Policy Committee

Author/Lead Officer of Report: Ryan Keyworth,
Director of Finance and Commercial Services

Tel: +44 114 474 1438

Report of: *Ryan Keyworth*
Report to: *Finance Sub-Committee*
Date of Decision: *27 July 2022*
Subject: *Month 3 Monitoring*

Has an Equality Impact Assessment (EIA) been undertaken?	Yes		No	X	
Has appropriate consultation taken place?	Yes		No	X	
Has a Climate Impact Assessment (CIA) been undertaken?	Yes		No	X	
Does the report contain confidential or exempt information?	Yes		No	X	

Purpose of Report:

This report brings the Committee up to date with the Council's financial position as at Month 3 2022/23.

Recommendations:

The Committee is recommended to:

1. Note the Council's challenging financial position as at the end of June 2022 (month 3).
2. Note that each Policy Committee will receive detailed budget monitoring for their areas of responsibility at their meetings in September 2022 alongside the reports on their 2023/24 budget proposals requested by the strategy and Resources Committee

Background Papers:

[2022/23 Revenue Budget](#)

Lead Officer to complete:-		
1	I have consulted the relevant departments in respect of any relevant implications indicated on the Statutory and Council Policy Checklist, and comments have been incorporated / additional forms completed / EIA completed, where required.	Finance: <i>Ryan Keyworth, Director of Finance and Commercial Services</i>
		Legal: <i>David Hollis, Assistant Director, Legal and Governance</i>
		Equalities & Consultation: <i>James Henderson, Director of Policy, Performance and Communications</i>
		Climate: n/a
<i>Legal, financial/commercial and equalities implications must be included within the report and the name of the officer consulted must be included above.</i>		
2	SLB member who approved submission:	<i>Ryan Keyworth</i>
3	Committee Chair consulted:	<i>Cllr Bryan Lodge</i>
4	I confirm that all necessary approval has been obtained in respect of the implications indicated on the Statutory and Council Policy Checklist and that the report has been approved for submission to the Committee by the SLB member indicated at 2. In addition, any additional forms have been completed and signed off as required at 1.	
	Lead Officer Name: <i>Ryan Keyworth</i>	Job Title: <i>Director of Finance and Commercial Services</i>
	Date: <i>19th July 2022</i>	

1. PROPOSAL

- 1.1 This report brings the 22/23 M3 monitoring information for each committee. Executive directors and Directors will be required to develop plans to mitigate the in-year forecast overspends.

1.2 Council Portfolio Month 3 2022/23

- 1.2.1 The Council is forecasting a £20.4m overspend against the 2022/23 budget as at month 3.

Full Year £m	Outturn	Budget	Variance
Corporate	(460.3)	(460.1)	(0.2)
City Futures	46.4	46.1	0.3
Operational Services	112.7	112.8	0.0
People	312.3	293.7	18.6
Policy, Performance Comms	3.0	2.8	0.2
Resources	6.3	4.8	1.5
Total	20.4	0.0	20.4

- 1.2.2 This overspend is due to a combination of agreed Budget Implementation Plans ("BIPs") not being fully implemented and ongoing cost / demand pressures that are partially offset by one-off savings.

Full Year £m	One-off	BIPs	Trend	Total Variance
Corporate	0.0	0.0	(0.2)	(0.2)
City Futures	0.0	0.0	0.3	0.3
Operational Services	(2.9)	1.4	1.5	0.0
People	0.4	15.3	2.9	18.6
Policy, Performance Comms	0.0	0.2	0.0	0.2
Resources	(0.2)	1.7	0.0	1.5
Total	(2.7)	18.6	4.5	20.4

1.3 Committee Financial Position

1.3.1 Overall Position - £20.4m overspend at Month 3

There is a £11.9m overspend in the Adult Health and Social Care Committee and a £7.1m overspend in the Education, Children and Families Committee	Full Year Forecast £m	Outturn	Budget	Variance
	Month 3			
Adult Health & Social Care		162.5	150.6	11.9
Education, Children & Families		136.0	128.9	7.1
Housing		8.7	8.8	(0.1)
Transport, Regeneration & Climate		41.3	40.3	1.0
Economic Development & Skills		9.8	9.8	(0.0)
Waste & Street Scene		55.6	56.2	(0.6)
Communities Parks and Leisure		46.0	46.9	(0.9)
Strategy & Resources		(439.4)	(441.4)	2.0
Total		20.4	(0.0)	20.4

The position worsened by £1.2m in M3 due to overspends in children's services.

The position worsened by a further £1.2m in M3 from further overspends in the people portfolio due to the following key issues in children's services:

- 1) £700k forecast income from health is now unlikely to be received in year and has been reflected in the forecast
- 2) The children's residential secure unit Aldine House is under capacity due to staffing issues which has affected income
- 3) Additional costs emerging in children's disability services.

The majority of the FY forecast overspend is attributable to shortfalls in Budget Implementation Plans (BIPs) delivery

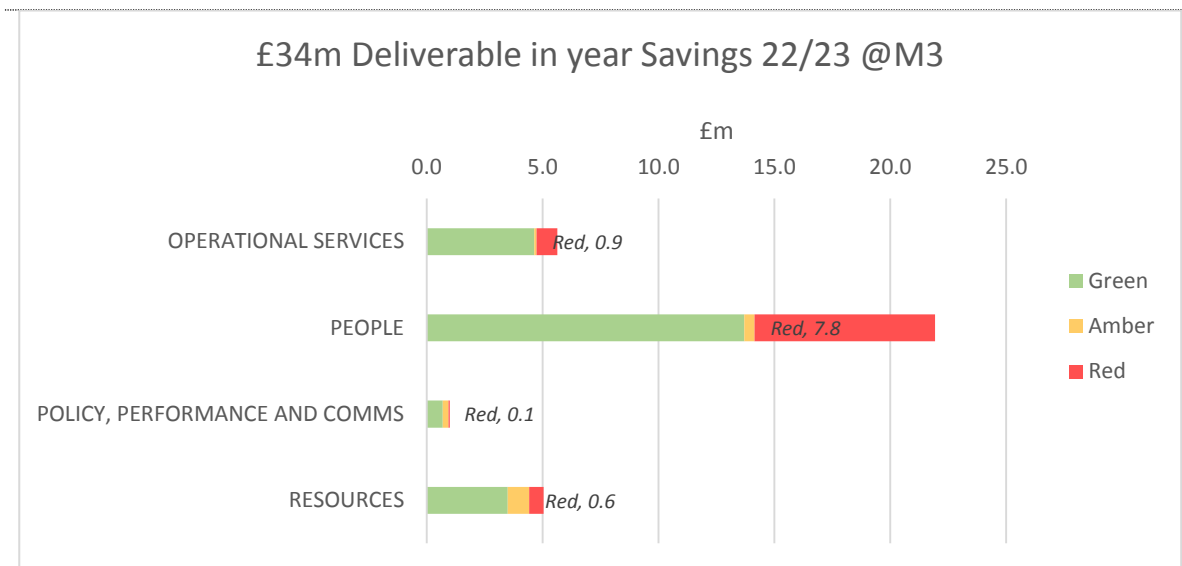
Variance Analysis £m Month 3	One-off	BIPs	Trend
Adult Health & Social Care	0.0	8.5	3.3
Education, Children & Families	0.6	6.8	(0.3)
Housing	0.0	0.0	(0.1)
Transport, Regeneration & Climate	0.0	1.3	(0.2)
Economic Development & Skills	(0.0)	0.0	(0.0)
Waste & Street Scene	(2.9)	0.2	2.0
Communities Parks and Leisure	(0.2)	0.0	(0.6)
Strategy & Resources	(0.3)	1.9	0.4
Total	(2.8)	18.6	4.5

£2.8m of one-off savings are mitigating part of the ongoing overspend

Contributions from provisions for energy and waste inflation mitigate the in-year impact of rising baseline costs. These are one-off contributions that will not help our position in 23/24 as the trend continues.

Balancing the 22/23 budget was only possible with £53m of BIPs, £34m are reported as deliverable in year

£m Portfolio	Total Savings 22/23	Deliverable in year	FY Variance
Corporate	1.2	1.0	0.2
People	37.7	22.4	15.3
Operational Services	7.1	5.7	1.4
PPC	1.2	1.0	0.2
Resources	6.7	5.0	1.7
Total	52.7	34.1	18.6



Focus has to be on delivering BIPs in 22/23 and preventing the in-year budget gap from getting any wider. Of the £34m BIPs forecast as being deliverable, £9.4m are rated red, which indicates high risk of increased overspending.

Of the £18.7m savings that are forecast to be undelivered this year, some can be delivered next financial year. It is estimated that £10m of this year's undelivered savings will still be unachievable in 23/24 and form part of the baseline pressures captured in the draft medium term financial analysis presented to the Strategy and Resources Committee on 5th July 2022.

Adult Health and Social Care are forecast to overspend by £11.9m

The high cost of packages of care put in place during covid has increased our baseline costs into 22/23. Work is underway as part of an investment plan with additional resource to tackle the underlying issues although recruitment issues are impacting our ability to deliver the required savings.

Education, Children and Families are forecast to overspend by £7.1m

Forecast under-delivery of budget implementation plans in the service are the main cause of overspends; plans to reduce staffing and increase income from Health are looking unlikely and the residential children's home strategy requires further work on capital business cases in order to progress. The service needs to provide mitigations to bring overspends back in line with budgets.

The following sections (1.4.1 – 1.4.8) detailed budget monitoring reports for each Policy Committees. Committees will next receive their individual budget monitoring reports in September along with any specific recommendations.

1.4.1 Strategy and Resources - £1.7m overspend at Month 3

Delays to proposed restructures account for the majority of the overspend in the Strategy and Resources Committee budget	Full Year Forecast £m	Outturn	Budget	Variance
	Month 3			
	Business Change & Info Solns	17.9	16.9	1.1
	Central Costs	(47.4)	(46.9)	(0.5)
	Consolidated Loans Fund	28.7	28.9	(0.2)
	Contract Rebates & Discounts	(1.0)	(0.7)	(0.3)
	Corporate Transactions	(489.0)	(489.0)	0.0
	Customer Services	5.3	5.4	(0.1)
	Finance & Commercial Services	19.6	19.5	0.1
	Housing Benefit	0.2	0.2	0.0
	Human Resources	5.3	5.0	0.3
	Legal & Governance	6.2	5.2	1.0
	Other Central Costs	0.0	0.0	(0.0)
	Policy, Performance & Comms	3.1	3.0	0.2
	Public Health	(0.1)	(0.1)	0.0
	Resources Management & Planning	0.3	0.3	(0.0)
	One Year Plan	0.0	0.0	0.0
	Direct Services (Facilities Mgmt; Ppe)	15.8	15.9	(0.0)
	Inclusive Growth & Development (Property and Regeneration)	(4.2)	(4.8)	0.6
	Total	(439.4)	(441.4)	2.0

Restructures in Business Change and ICT delivery have caused the greatest overspend alongside underlying overspends in legal services.

An approach to the restructures has now been agreed which should secure full run-rate savings going into 2023/24

1.4.2 Adult Health & Social Care- £11.9m overspend at Month 3

The revenue outturn position for the AHSC Committee is to overspend by £11.9m

Full Year Forecast £m Month 3	Outturn	Budget	Variance
Adult Health & Social Care	153.5	141.6	11.9
Integrated Commissioning (Early Help and Prevention; Supporting Vulnerable People)	9.0	9.0	(0.0)
Total	162.5	150.5	11.9

£8.5m of the overspend relates to BIP shortfalls. Staffing is £0.8m overspent and Purchasing activity £2.2m over budget

Variance Analysis £m @ Month 3	One-off	BIPs	Trend
Adult Health & Social Care	0.0	8.5	3.3
Integrated Commissioning	0.0	0.0	(0.0)
Total	0.0	8.5	3.3

Expenditure trends continue in learning disabilities and older people's purchasing budgets with an underlying pressure of £2.2m in this sector and a potential for the position to worsen.

BIP delivery for 22/23 is looking challenging, focus needs to be on reviewing high-cost packages put in place during covid

Over £11m of the BIP savings required for 22/23 relate to reviewing high-cost packages of care put in place during the pandemic.

Work is underway as part of an investment plan with additional resource to tackle the underlying issue although recruitment issues is impacting on deliverability.

Reported delivery of savings in year amounts to £5.3m leaving a continued gap of £5.8m as part of the overall overspend. Further analysis of the reviews is on-going and will be fed into forecasts each month. Staffing issues pose a risk to case review work.

RAG	Saving	Total Budgeted	Deliverable	GAP
Red	Case review	11.1	5.3	5.8
	Staffing	2.4	1.2	1.2
	TUPE	1.0	0.4	0.6
	MH Social Work	1.0	0.7	0.3
	Localities	0.5	0.1	0.4
	Safeguarding			
	Prevention	0.1	0.0	0.1
Red Total		16.2	7.8	8.4
Amber Total (various)		0.6	0.4	0.1
Green Total (various)		8.5	8.5	0.0
Grand Total		25.3	16.8	8.5

Recruitment and retention difficulties continue to impact savings delivery in 22/23, but with the potential to increase staffing pressure in future years

Vacancies which are part of the investment plan are not fully recruited to.

If posts are filled, the £0.8m current employee overspend would increase but an improvement in BIP delivery would be expected.

However, some elements of the investment plan funding employees are time limited with c.£2m due to be removed from staffing budgets over the next 2 financial years.

A Target Operating Model is being worked on and it is anticipated to arrive at an optimum staffing establishment level

but will need to consider the level of permanent funding available.

Home care continues to be a huge challenge

Increased cost and size of packages following the pandemic continues to be an underlying issue. The market is also suffering from staff recruitment and retention problems resulting in a lack of capacity.

Fair Cost of Care Exercise and Social Care Reform will increase Adult Social Care responsibilities and costs

Fair Cost of Care is to determine an appropriate fee level on over-65 Care Homes and Homecare delivery. SCC are currently an average to low payer when benchmarked against other Local Authorities which indicates the potential to have to increase rates above current forecast levels. Any grant allocated is unlikely to fully cover the cost of those increases.

Social Care Reform will levy significant new responsibilities on Local Authorities and introduces a cap on care costs. The grant allocated is unlikely to fully cover the costs of those increases or the required increase staffing base needed in order to deliver our new responsibilities.

1.4.3 **Education, Children & Families Committee - £7.1m overspend at Month 3**

The Education, Children & Families General Fund is overspending by £7.1m, made up of a shortfall of savings delivery offset by staffing vacancies.	Full Year Forecast £m Month 3	Outturn	Budget	Variance
Children & Families		115.7	109.0	6.7
Education & Skills (<i>Access and Inclusion; Business Support; Operational and Portfolio Wide Budgets; School Budgets; Schools and Learning; SEN</i>)		13.1	13.2	(0.1)
Integrated Commissioning (<i>Commissioning; Children's Public Health; Early Help and Prevention</i>)		7.2	6.7	0.5
Total		136.0	128.9	7.1

The main cause of the overspend is under delivery of Budget Implementation Plans (BIPs)	Variance Analysis £m Month 3	One-off	BIPs	Trend
	Children & Families	0.6	6.1	0.0
	Education & Skills	0.0	0.0	(0.1)
	Integrated Commissioning	0.0	0.7	(0.1)
	Total	0.6	6.8	(0.2)

The position in Children's & Families worsened from M2 to M3 by £1.3m

The forecast outturn at M3 is £1.3m worse in Children's & Families for 3 main reasons:

- 1) the recognition that forecast income of £700k from Health partners will not be received this year, without formal agreement this creates an underlying budget pressure in future years
- 2) income at our secure residential children's unit Aldine House is down against forecast due to capacity restrictions caused by staffing shortages, this is a timing variance and
- 3) additional costs emerging in Children's disability services.

The forecast for unaccompanied asylum seeker children services improved following settlement advice from the Home Office for historic claims. There is still an overspend of £0.5m in this service.

Dedicated Schools Grant (DSG) is overspending by £2.1 due to rising placements in Special Educational Needs (SEN) and associated cost pressures	DSG Full Year Forecast £m Month 3	Outturn	Budget	Variance
	Children & Families	6.0	6.3	(0.3)
	Community Services	0.6	0.6	-
	Education & Skills	210.7	208.4	2.4
	Integrated Commissioning	9.4	9.4	-
	Total	226.7	224.6	2.1

There is a £6.8m BIP delivery shortfall forecast for 22/23 and potential	RAG	Saving	Total Budget	Deliverable	GAP
	Red	Convert Agency Staff to Permanent	0.5	0.0	0.5

permanent mitigations of £2.6m	Contributions from Health	1.4	0.0	1.4
	New Residential Home	2.0	0.0	2.0
	Fieldwork Staffing	1.4	0.0	1.4
	Agency spend/caseload reduction	1.0	0.5	0.5
	Residential placements - disabilities	0.7	0.0	0.7
	Children's MH	0.3	0.0	0.3
	Residential staffing strategy	0.1	0.0	0.1
	Red Total	7.4	0.5	6.8
Green Total	3.3	3.3	0.0	
Grand Total	10.7	3.8	6.8	
Plans to reduce business support staffing have been delayed with costs offset by difficulties in recruiting social workers	<p>£1.4m of the BIP shortfall relates to reduction in business support staffing linked to the investment in support workers in Fieldwork is not happening as planned.</p> <p>Difficulties in recruiting Fieldwork staff is resulting in a £1.6m underspend which is currently helping to offset the BIP shortfalls.</p> <p>There are £1.1m other staff related savings forecast not to be delivered where it is assumed that it will not be possible to replace agency with permanent staffing.</p>			
The residential strategy (c£2.7m savings) requires completion of a business case and is unlikely to be delivered this year	<p>The £2m saving relating to a new secure unit is a longer term saving requiring capital and planning approvals to be in place before building/renovations would be able to commence.</p> <p>The existing secure unit is now forecasting an income shortfall of £0.4m due to capacity restrictions caused by staffing shortages.</p> <p>Similar approvals would be needed for the other residential strategy saving of £750k for Children with Disabilities. However, Placements budgets are assumed to balance with current trend numbers remaining within budget even allowing for the £750k BIP non-delivery.</p>			
£1.4m savings from contributions from Health is not deliverable this year	<p>Discussions have begun with Health partners but no firm agreement is in place therefore this saving will not be delivered this year. This is reflected in the outturn position and is likely to continue as an underlying pressure in the budget until an agreement is formalised.</p>			
Direct Payments, Family Time and Unaccompanied Asylum Seeker Children budgets have a combined overspend of £1.3m.	<p>Direct payments budget is forecast to overspend by £0.4m based on current client costs plus 10% growth allowed (consistent with growth observed in 21/22).</p> <p>Family time budget is £0.4m overspent with the current staffing forecast being higher than planned.</p> <p>Unaccompanied Asylum Seeker Children budgets are £0.5m overspent due to additional clients and costs being higher than Home Office funding provides for. This is largely due to costs not falling in line with the reduction in income received once the child reaches 18 years of age.</p> <p>These areas need to be closely reviewed to confirm forecast accuracy, understand reasons behind the overspends and explore any mitigating action available.</p>			

1.4.4 **Housing Committee - balance in the General Fund but overspend of £11.3m in the Housing Revenue Account at Month 3**

The Housing General fund is forecast to be broadly in line with budget.	Full Year Forecast £m @ Month 3	Outturn	Budget	Variance
	Housing General Fund	8.6	8.7	(0.1)
	Housing Growth	0.1	0.1	(0.0)
	Total	8.7	8.8	(0.1)
The Housing Revenue Account is forecast to overspend by £11.3m.	Full Year Forecast £m @ Month 3	Outturn	Budget	Variance
	Net Income – Dwellings	(149.1)	(152.6)	3.5
	Other income	(6.5)	(6.5)	0.0
	Repairs & Maintenance	47.3	41.4	5.8
	Depreciation	25.0	25.0	0.0
	Tenant Services	49.4	51.4	(1.9)
	-Disrepairs	5.2	2.6	2.6
	-Council Tax	2.2	0.9	1.3
	Interest on borrowing	13.6	13.6	0.0
	Contribution to Capital Programme	12.8	24.2	(11.3)
	Total	0.0	(0.0)	0.0
Vacant properties are resulting in a forecast loss of £3.1m in rent.	<p>The loss of rental income is forecast to be £3.1m for the year largely due to the speed of turnaround in the repairs and maintenance service resulting in vacant properties.</p> <p>The Business Plan assumes a voids rate of 1.84%, but the current rate of voids is significantly higher. Although plans are in place to reduce this in 2022/23, the current void rate is more like 3.5%.</p>			
Vacant properties also result in £3.9m of additional forecast cost.	<p>The current forecast includes a £1.3m of additional costs for council tax on empty properties and £2.6m extra costs for legal fees from increasing disrepair claims.</p>			
Tenant Services	<p>The forecast includes a £1.1m overspend on costs for furnished accommodation, due to increased demand for furnishings from the Temporary Accommodation service. This is offset by underspends across the service of around £2.4m including £1.3m payroll. There is an improvement on last month of £1.7m, largely reflecting reviews on spend, including re-alignment of payroll costs to actual spend rates.</p>			
High inflation poses a risk to the business plan.	<p>The HRA Business Plan assumes rental income to increase by CPI +1%. CPI is currently running at a higher rate than originally assumed at 2%. 22/23 CPI is 7.9% latest business planning assumptions forecast the position to stabilise back to 2% by 24/25 but remain high in 23/24. This needs further consideration in the business plan.</p> <p>Energy inflation at around 100% is forecast to add an additional cost pressure of around £1.8m in 22/23. This cost is forecast to</p>			

be offset this year from specific earmarked reserves, but clearly this not sustainable.

The Housing Repairs Service is forecast to overspend by £5.8m

There are significant overspends on employees, sub-contractors, and material costs in responsive repairs. Gas servicing and repair work to address voids has also caused excess costs.

The community heating account is forecast to overspend by £1m due to rising energy prices

Full Year Forecast £m @ Month 3	Outturn	Budget	Variance
Income	(3.3)	(3.3)	(0.0)
Expenditure	4.3	3.2	1.1
Total	0.9	(0.1)	1.1

Overspends in the HRA impact the capital programme

Without significant savings in revenue budgets, the long term capital programme is not affordable. The month 3 outturn position shows a reduced contribution to the future programme

1.4.5 **Transport, Regeneration & Climate Committee - overspend of £1m at Month 3**

The Transport, Regeneration & Climate Committee is forecast to overspend by £1m	Full Year Forecast £m @ Month 3	Outturn	Budget	Variance
	Direct Services (<i>Carbon Reduction; Transport</i>)	0.0	0.0	0.0
	Streetscene & Regulation (<i>City Centre Management; Clean Air Zone; Environmental Regulations; Events; Parking Services</i>)	(0.2)	(1.4)	1.2
	Inclusive Growth & Development (<i>Capital Delivery; Director of Inclusive Growth; Property and Regeneration</i>)	0.4	0.4	(0.0)
	Planning, Investment & Sustainability (<i>Planning Services; ITA Levy; Transport and Infrastructure</i>)	41.1	41.3	(0.2)
	Total	41.3	40.3	1.0
The delayed implementation date of the Clean Air Zone has caused slippage of £1.2m to Budget Implementation Plans	Variance Analysis £m @ Month 3	One-off	BIPs	Trend
	Direct Services	0.0	0.0	0.0
	Streetscene & Regulation	0.0	1.3	(0.0)
	Inclusive Growth & Devt	0.0	0.0	(0.0)
	Planning, Investment & Sustain	0.0	0.0	(0.2)
	Total	0.0	1.3	(0.2)
Forecast losses in income contribute to the overspend	There is a £0.5m projected shortfall in rental income at Electric Works following loss / downsize of 2 key tenants. There is a shortfall on forecast planning fee income against budget of £0.2m, mitigated by a small upside on network management fee income of (£0.3m).			
Income from Clean Air Zone charges remains uncertain	The income forecast from the introduction of the charging Clean Air Zone remains uncertain given potential slippage in the programme following continued dialogue with central government. This income risk is a further £800k in 22/23.			

1.4.6 **Economic Development & Skills Committee - Balanced at Month 3**

The revenue outturn position for the Economic Development & Skills Committee is to balance	Full Year Forecast £m @ Month 3	Outturn	Budget	Variance
	Education & Skills <i>(Employment and Skills; Family and Community Learning)</i>	0.8	0.8	-
	Economy, Culture & Skills <i>(Business Development; Director of Economic Development and Culture; Economy and Business Support; Employment and Skills)</i>	9.0	9.0	-
	Total	9.8	9.8	-
The key Budget Implementation Plan (BIP) is on target to be delivered	The key BIP for 22/23 is to vacate the offices at Broad Street West. This is on track and saving will be delivered in year as part of the outturn position.			
The Budget is in line with expectations	The forecast for the Economic Development and Skills committee is broadly on track to balance in 22/23. Some small emerging pressures have been mitigated in year.			

1.4.7 **Waste & Street Scene Committee - underspend of £0.6m at Month 3**

The Waste & Street scene committee is forecasting a £0.6m overspend	Full Year Forecast £m @ Month 3	Outturn	Budget	Variance
	Streetscene & Regulation <i>(Director of Street Scene; Highway Maintenance; Highways Contract; Licensing; City Markets; Waste Management)</i>	55.6	56.2	(0.6)
	Total	55.6	56.2	(0.6)
There is one-off funding mitigating the inflationary pressure in services	Variance Analysis £m @ Month 3	One-off	BIPs	Trend
	Streetscene & Regulation	(2.9)	0.2	2.0
	Total	(2.9)	0.2	2.0
There are £3m underling energy and waste management inflation pressures this year	The Waste contract provides for an uplift in costs at RPIX which was re-based at 8% for 22/23. This was £1.2m higher than the budget level for the contract. It is expected that RPIX will remain high into 23/24 so pressure is likely to continue and be an issue for the 2023/24 budget.			
	Similarly, energy costs on street lighting have caused a £1.8m issue in 22/23.			
	Both pressures have been mitigated for 2022/23 through one-off provisions / reserves but those reserves will be exhausted for the 2023/24 budget.			
	Vacancies held in Parking Services and Highways are contributing to the net underspend in the budget.			

1.4.8 **Communities, Parks & Leisure Committee - underspend of £0.9m at Month 3**

The Communities Parks & Leisure Committee is forecast to underspend by £0.9m	Full Year Forecast £m Month 3	Outturn	Budget	Variance
	Community Services <i>(Community Safety; Family Centres; Locality Management)</i>	13.1	13.4	(0.3)
	Parks, Leisure & Libraries <i>(Bereavement; Coroner and Medico Legal; Libraries and Archives; Parks and Countryside; Partnerships and Special Projects; Physical Activity and Sports; Public Health)</i>	32.9	33.4	(0.5)
	Total	46.0	46.9	(0.9)
	Variance Analysis £m @ Month 3	One-off	BIPs	Trend
	Community Services	(0.2)	0.0	(0.1)
	Parks, Leisure & Libraries	0.0	0.0	(0.5)
	Total	(0.2)	0.0	(0.6)
£1.3m is forecast to be spent to support the community response team	The forecast assumes £1.3m temporary funding will be drawn down to pay for staffing costs in community response for Clinically Extremely Vulnerable, Community Safety and Locality Teams. This is one off funding and caution must be taken to ensure expenditure does not continue as a trend into 23/24 or an unfunded budget pressure will be created. Contracts to support the service are forecast to end by the end of the financial year.			
There is a £0.2m forecast underspend for Page Hall	Most of the underspend relates to controlling migration funding relating to Page Hall which is expected to be part of a carry forward request.			
Parks, leisure, and libraries are forecasting a small underspend	There is a relatively small forecast of staffing underspends across most service areas amounting to £0.5m.			

1.5 **Capital Programme Monitoring M3 22/23**

The position on the capital programme at M3 is noted in appendix 1.

2. HOW DOES THIS DECISION CONTRIBUTE?

- 2.1 The recommendations in this report are that each Policy Committee undertakes any work required to both balance their 2022/23 budget and prepare for the 2023/24 budget.

3. HAS THERE BEEN ANY CONSULTATION?

- 3.1 There has been no consultation on this report, however, it is anticipated that the budget process itself will involve significant consultation as the Policy Committees develop their budget proposals

4. RISK ANALYSIS AND IMPLICATIONS OF THE DECISION

4.1 Equality Implications

- 4.1.1 There are no direct equality implications arising from this report. It is expected that individual Committees will use equality impact analyses as a basis for the development of their budget proposals in due course.

4.2 Financial and Commercial Implications

- 4.2.1 There are no direct financial implications from this report.

4.3 Legal Implications

- 4.3.1 Under section 25 of the Local Government Act 2003, the Chief Finance Officer of an authority is required to report on the following matters:

- the robustness of the estimates made for the purposes of determining its budget requirement for the forthcoming year; and
- the adequacy of the proposed financial reserves.

- 4.3.2 There is also a requirement for the authority to have regard to the report of the Chief Finance Officer when making decisions on its budget requirement and level of financial reserves.

- 4.3.3 By the law the Council must set and deliver a balanced budget, which is a financial plan based on sound assumptions which shows how income will equal spend over the short- and medium-term. This can take into account deliverable cost savings and/or local income growth strategies as well as useable reserves. However, a budget will not be balanced where it reduces reserves to unacceptably low levels and regard must be had to any report of the Chief Finance Officer on the required level of reserves under section 25 of the Local Government Act 2003, which sets obligations of adequacy on controlled reserves.

4.4 Climate Implications

- 4.4.1 There are no direct equality implications arising from this report. It is expected that individual Committees will consider climate implications as they develop their budget proposals in due course.

4.4 Other Implications

4.4.1 No direct implication

5. ALTERNATIVE OPTIONS CONSIDERED

5.1 The Council is required to both set a balance budget and to ensure that in-year income and expenditure are balanced. No other alternatives were considered.

CAPITAL PROGRAMME MONITORING AS AT JUNE 2022

1 - Statement of Budget Movement

The table below summarises the movement in budget from month 2 to month 3 22/23 and Capital programme budget position as at Jun22.

	2022/23	2023/24	Future	Total	Comments
Month 2 Approved Budget	329.0	164.7	403.4	897.1	The key changes to the programme from last month relate to:
Additions	7.4	0.3	0.0	7.7	ADDITIONS + £4.7m - Inclusion of purchase of apartments at Handsworth for Council Housing Stock Increase Programme + £1.3m - Inclusion of budget for JLP car park demolition + £1m - Inclusion of budget for 'Move On' accommodation at Fraser Road + £0.3m - Inclusion of 'Renew' grants budget + £0.25m - Inclusion of budgets for Integrated Resources at Acres Hill and Greenhill Schools
Variations	-5.3	0.0	1.9	-3.5	VARIATIONS - £3.6m - Reduction in Council Housing Temporary Accommodation allocation to be reallocated to specific scheme - £2.8m - Variations to Council Housing Stock Increase Programme Allocation + £1.4m - Increases to Transport Programme + £0.75m - Increases to Adlington Older Persons Independent Living Scheme + £0.3m - Increases to Green & Open Spaces schemes + £0.2m - Increases to school maintenance schemes
Reprofile	-31.8	6.4	25.4	0.0	REPROFILE Major budget reprofiling across Council Housing Stock Increase Programme
Slippage & Acceleration	0.0	0.0	0.0	0.0	
Month 3 Approved Budget	299.2	171.4	430.8	901.4	

2 - Top 20 Projects by value as at June 2022

The table below summarises the Top 20 projects in the Capital Programme by budget value in 2022/23. This group accounts for 60% of the 2022/23 capital programme. The major in-year and all-year variations are explained below and in sections 4 and 5.

PROJECT	Current Year							Remaining Life of Project					Comments	
	YTD Actual	YTD Budget	YTD Variance	FY Outturn	FY Budget	FY Variance	Variance %	Delivery Forecast RAG	All Years Outturn	All Years Budget	All Years Variance	Variance %		Delivery RAG
Heart of The City Henrys Block	7,762	8,250	(488)	33,454	38,744	(5,291)	-13.7%	A	38,826	40,927	(2,102)	-5.1%	A	See Item 4.2
Heart of The City Palatine Chambers Block	2,381	3,559	(1,178)	24,046	27,216	(3,170)	-11.6%	A	35,730	35,707	22	0.1%	A	See Item 4.4
Major Sporting Facilities Finance	4,140	4,140	0	16,559	16,559	0	0.0%	NR	34,167	34,167	(0)	0.0%	NR	
Council Housing Stock Increase Allocation	-	-	-	144	10,085	(9,941)	-98.6%	G	229,859	233,303	(3,443)	-1.5%	G	See Item 4.1
Council Housing Roofing Replacements Programme	2,237	1,580	657	8,413	8,042	371	4.6%	G	32,837	32,837	(0)	0.0%	G	See Item 5.8
Council Housing Single Staircase Tower Blocks Works	1,160	2,624	(1,464)	8,390	7,894	496	6.3%	G	10,178	9,678	500	5.2%	G	See Item 5.5
Council Housing Acquisitions Prog	1,632	1,788	(156)	7,848	7,152	696	9.7%	G	12,817	12,817	(0)	0.0%	G	See Item 5.4
Silverdale School Expansion	57	94	(37)	6,620	6,697	(76)	-1.1%	G	7,386	7,466	(80)	-1.1%	G	
Council Housing External Wall Insulation 2	15	31	(16)	2,649	6,342	(3,694)	-58.2%	G	9,810	9,810	0	0.0%	G	See Item 4.3
New Council Housing Acquisition - Corker Bottoms	4	3,827	(3,823)	5,130	5,989	(860)	-14.4%	NR	8,336	8,336	-	0.0%	NR	See Item 4.8
Brownfield Site Development Acquisitions	0	0	-	5,881	5,881	-	0.0%	NR	5,881	5,881	-	0.0%	NR	
Heart of The City Leas Yard	87	521	(434)	2,510	4,920	(2,411)	-49.0%	A	4,920	4,920	(0)	0.0%	A	See Item 4.5
New Council Housing Acquisition - Handsworth	-	-	-	4,733	4,733	0	0.0%	NR	4,733	4,733	-	0.0%	NR	
New Build Council Housing Daresbury / Berners	1,721	1,772	(51)	4,665	4,651	15	0.3%	G	4,665	4,651	15	0.3%	G	
Heart of The City - Pounds Park	833	1,564	(731)	6,701	4,629	2,073	44.8%	G	6,701	4,629	2,073	44.8%	G	See Item 5.1
Council Housing Electrical Upgrades Ph 2	1,178	794	384	4,143	4,143	0	0.0%	G	19,436	19,436	0	0.0%	G	
Heart of The City Block C Pepper Pot Building	1,509	2,245	(736)	4,331	3,983	348	8.7%	A	4,331	3,983	348	8.7%	A	See Item 5.9
King Egberts School Expansion	217	206	11	3,574	3,874	(300)	-7.7%	G	5,296	5,596	(300)	-5.4%	G	Missing forecast. Will be corrected next month
Heart of The City - JLP Building works	61	221	(160)	3,485	3,485	(0)	0.0%	A	3,485	3,485	(0)	0.0%	A	
Future High Streets Fund Public Realm & Infrastructure	226	267	(40)	3,418	3,418	(0)	0.0%	G	8,624	8,624	0	0.0%	G	
Top 20 Value	25,218	33,482	(8,263)	156,694	178,438	(21,744)	-12.2%		488,018	490,985	(2,968)			
Rest of Programme	20,991	32,319	(11,328)	120,513	120,781	(268)	-0.2%		413,933	410,407	3,526			
Total Capital Programme Value	46,209	65,800	(19,591)	277,207	299,219	(22,012)	-7.4%		901,950	901,392	558			
% of Programme within the Top 20	55%	51%	42%	57%	60%	99%		54%	54%	-531%				

3 - Current Year to date and Forecast Outturn Position

The forecast outturn position is £22m below budget. The key variance by policy area are explained below. This is a movement of £27.9m from the £49.9m reported last month. This movement has largely been driven by a reprofiling of budgets in the Stock Increase in line with revised forecasts

Policy Committee	YEAR TO DATE			FULL YEAR		Comments	
	Actual	Budget	Variance	Forecast	Budget		Variance
TRANSPORT, REGEN & CLIMATE	17,342	24,391	(7,049)	124,194	129,816	(5,622)	Key Variances + £2.3m - HOCH - Henrys Block Forecast underspend against budget however £2.8m anticipated saving overall on this scheme + £1m - HOCH - Block A Forecast Slippage on scheme + £2.4m - HOCH - Leas Yard - Forecast Slippage on scheme + £2.1m - Awaiting Budget increase HOCH Block G Pocket Park (funding confirmed) + £1.3m - Forecast overspend on Upper Don Valley Flood Scheme (additional Environment Agency Funding sought) + £2.7m - Forecast Overspend on HOCH Blocks B&C (additional call on borrowing) + £0.7m - Various budgets awaiting approval + £0.2m - Acceleration on HOCH Strategic Development Fees
COMMUNITIES, PARKS & LEISURE	5,990	6,874	(884)	24,028	23,486	541	Key Variances + £2.5m - General Cemetery Scheme of which £1.75m is acceleration so forecast overspend is £325k. Forecast reflects current estimates of potential variations and contractor claims. These to be revisited with design team to minimise impact. Further funding from NLHF and S100 to be explored. + £0.95m - General Cemetery Crematoria - Acceleration
HOUSING	16,271	24,856	(8,585)	87,168	104,409	(17,241)	Key Variances + £3.2m - Reprofiling of block allocation for Stock Increase Programme + £2.7m - Delays to External Wall Insulation Package 2 + £2m - Delays to External Wall Insulation Package 3 + £0.7m - Delays to payment of SPC acquisitions at Corker Bottoms + £0.8m - Forecast slippage on Council Housing General Acquisitions Refurbishment budget. + £0.7m - Slippage on Tower Block Flat Roofing scheme + £0.6m - Forecast underspend on LAD2 Private Sector Works + £0.7m - Acceleration of Council Housing General Acquisitions spend due to higher property costs + £0.5m - Forecast overspend on Single Staircase Tower Block Safety Works
EDUCATION, CHILDREN & FAMILIES	2,719	5,058	(2,338)	24,061	24,809	(748)	Key Variances + £1m - Incorrect forecasts re: Free school contribution and recently approved budget for King Egberts expansion overspend due to additional works required. Budget increase in approvals process. + £0.16m - Aldine House Extension overspend to be funded by revenue contribution from Aldine House Budget (already received) + £0.07m - Nether Green Junior Roof - Increased scheme costs. Budget increase in approvals process. + £0.05m - Broomhall Nursery Works - Forecast is for potential costs of scheme, but may not progress
STRATEGY & RESOURCES	1,645	2,481	(836)	8,369	8,366	2	Key Variances + £1.7m - Accelerated Adaptations Grant - Increased assessment capacity, backlog demand from COVID alongside increasing general demand and increasing prices are placing strain on budget for non means tested smaller adaptations - Targeted work ongoing to review longer term impact + £0.6m - Disabled Facilities Grant and Top Up Grants - Review undertaken of applications for major adaptations. Decision taken to restrict number to be delivered in year in order to manage overall Disabled Facilities Grant Budget pressures (see above) + £0.07m - Pressure building on overall DFG budget. Current projected overspend is manageable within current year resources plus proposals underspend against forecast. However, work required to review emerging
ADULT HEALTH & SOCIAL CARE	2,032	1,699	333	7,847	6,797	1,049	
WASTE & STREET SCENE	13	58	(45)	855	853	2	
ECONOMIC DEVELOPMENT & SKILLS	196	384	(188)	685	681	4	
Grand Total	46,209	65,800	(19,591)	277,207	299,219	(22,012)	

4 - Top 10 Forecast Slippage against Full Year Budget

Of the main £29.9m forecast below budget, £16.6m relates to projects either in delivery or at tender stage. The remainder relates to budgets due to be either reprofiled or reallocated within the capital programme

Business Unit	Policy Committee	FY Budget	FY variance on budget	Explanation
4.1 Council Housing Stock Increase Allocation	HOUSING	10,085	(9,941)	REPROFILE - Following a review of the profile of the Stock Increase programme the majority of expenditure is now likely to be in future years.
4.2 Heart of The City Henrys Block	TRANSPORT, REGEN & CLIMATE	38,744	(5,291)	SAVING /SLIPPAGE - contractor contingency reduced by £2m due to risks not being realised up to this point in construction. Forecast approx £3m slippage of payments into 23/24
4.3 Council Housing External Wall Insulation 2	HOUSING	6,342	(3,694)	SLIPPAGE - Latest outturn forecast current year is behind current full year budget due to delays to the programme as a result of CDS investigating the implications of PAS 2035 compliance.
4.4 Heart of The City Palatine Chambers Block	TRANSPORT, REGEN & CLIMATE	27,216	(3,170)	SLIPPAGE - Delays to work on site as a result of the Yorkshireman Pub demolition. Work has been rescheduled and will not impact on completion date.
4.5 Heart of The City Leas Yard	TRANSPORT, REGEN & CLIMATE	4,920	(2,411)	SLIPPAGE - There have been delays in the progress of the project due to budget pressures, a way forward has now been agreed and a revised budget is to be submitted for approval. Once we have a revised
4.6 Council Housing External Wall Insulation 3	HOUSING	2,379	(2,021)	Slippage - New Tender required
4.7 Disabled Facilities Grant Top Up	ADULT HEALTH & SOCIAL CARE	1,666	(892)	REPROFILE - Overall forecast £1m overspend across all Disabled Facilities Grant spend. This potential underspend against budget achieved by rationing of expenditure on major extensions in order to deliver back
4.8 New Council Housing Acquisition - Corker Bottoms	HOUSING	5,989	(860)	REPROFILE - Slight delay to final payment for acquisition of properties forecast.
4.9 Council Housing General Acquisitions Refurbishment	HOUSING	1,547	(849)	REPROFILE - The forecast position is for an underspend in year of nearly £849k which is as a result of 2 factors. Firstly, the total number of acquired properties is forecast to be 70 rather than 80 resulting in fewer properties to refurbish. Secondly, the forecast is based on current average refurbishment costs of just under £12k against a budgeted amount of over £18k. This is subject to change as the work required in future acquired properties is unknown. This funding is being reprofiled for use in future years on the scheme.
4.10 Sheffield SEND Free School contribution	EDUCATION, CHILDREN & FAMILIES	752	(752)	Forecast not completed - Full spend expected in September 2022. Will be corrected next month
Total		99,641	(29,880)	

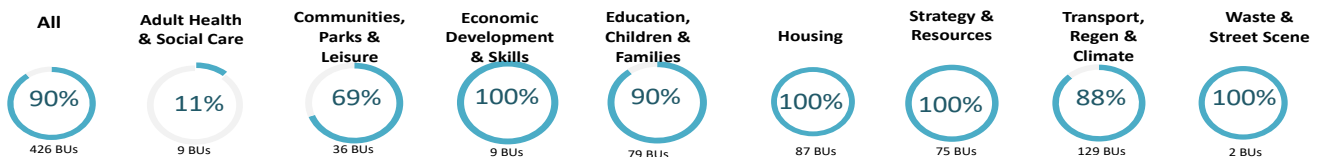
5 - Top 10 Forecast Overspends over Full Year Budget

Of the main £8.2m forecasts over budget approx. £5.4m represent genuine overspends. Housing schemes will form an additional call on the HRA which is coming under increasing pressure; the Upper Don Valley Flood scheme is seeking to secure additional Environment Agency funds, and these discussions are well advanced with approval likely in the next few months; the Pepper Pot and Laycock House schemes will form an additional call on the Prudential Borrowing funding Heart of The City 2 but remain within the overall planned expenditure envelope for the programme; The forecast overspends relating to Disabled Facilities Grant activity are currently affordable within funds brought forward from previous years but the potential for ongoing pressures is being reviewed.

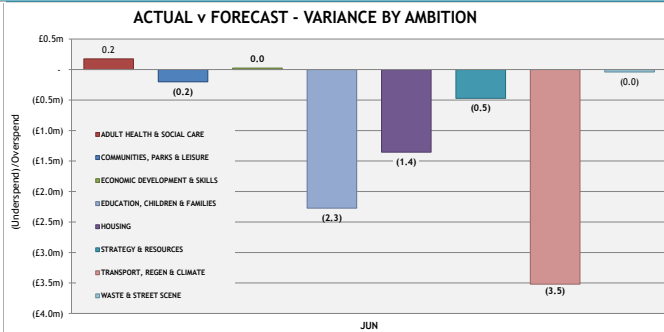
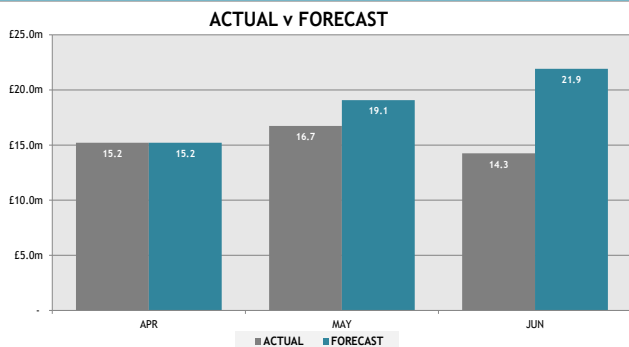
Business Unit	Policy Committee	FY Budget	FY variance on budget	Explanation
5.1 Heart of The City - Pounds Park	TRANSPORT, REGEN & CLIMATE	4,629	2,073	AWAITING APPROVAL - Variation between approved all years budget and outturn forecast for all years due to proposed budget increase to cover the increased contract cost covered by additional funding from the MCA. A revised Capital Approval Form has been submitted for approval in the period.
5.2 Disabled Facilities Accelerated Adaptations Grant	ADULT HEALTH & SOCIAL CARE	2,230	1,728	Overspend - Increased assessment capacity, backlog demand from COVID alongside increasing general demand and increasing prices are placing strain on budget for non means tested smaller adaptations. Targeted work is ongoing to review longer term impact. Impact partly mitigated by rationing of expenditure
5.3 Upper Don Valley Flood Scheme Phase 1	TRANSPORT, REGEN & CLIMATE	3,209	1,315	Overspend Differences between budgets and expenditure forecasts are due to the rising cost of the project (due to a combination of ecological factors, difficulties with landowner agreements, worse than expected ground conditions and condition of existing structures and the general "overheating" of the construction sector). Additional external funding can be secured from the EA to address this (agreed in principle with EA)
5.4 Council Housing Acquisitions Prog	HOUSING	7,152	696	ACCELERATION - The purchase of strategically important 4 bedroom homes means there are 15 properties with agreed sales which are being purchased for £170k+ nearly double the average budgeted amount per property. Average purchase continue to be over budgeted limits but the projected overspend has been reduced to £696k due a reduction in the cost of the 10 agreed sales in period 3. There is currently no proposal to increase the overall budget for this element of the Stock Increase Programme
5.5 Council Housing Single Staircase Tower Blocks Works	HOUSING	7,894	496	OVERSPEND - The Contractor has provided quotations for two significant variations that fall outside of the original scope of works, these are the replacement of the full roofs at Stanington instead of just an overlay and the provision of a higher specification consumer unit, to meet new electrical safety standards in high rise/high risk properties. The value of these have been included in full. However some of these are currently being challenged and are anticipated to reduce. The variations include removal of asbestos, fire clipping to
5.6 General Cemetery Improvements Ph2	COMMUNITIES, PARKS & LEISURE	2,443	491	Overspend - contractors estimate of potential variations and claims has been included in the current f/cast. To be reviewed with design team with aim to instruct minimal variations. Dialogue has commenced with Heritage Lottery Fund for additional funding and potential of further Section 106 funding is also being
5.7 Heart of The City - Block B Laycock House	TRANSPORT, REGEN & CLIMATE	2,043	372	OVERSPEND - Works delayed on site as a result of Covid and delays with Utility supply. There have also been contractor delays. Final account negotiations are ongoing and it is anticipated that the agreement will
5.8 Council Housing Roofing Replacements Programme	HOUSING	8,042	371	ACCELERATION - Reviewed and reset all forecasts following actual start of project in March 2021, which was much later than initially proposed. This resulted in a substantial carry over from 2020/21. Also, as the project is for 5 years, it will carry through until the end of 2025/26. There is contingency for the fitting of PV, the volume of which is still to be defined. Average cost of re-roofing blocks of flats found to be higher than estimated. An inflation uplift of 16.2% has been agreed for Year 2, in accordance with the BEIS index for rise in construction material costs. The rise in inflation will affect forecasted costs until a further review of the
5.9 Heart of The City Block C Pepper Pot Building	TRANSPORT, REGEN & CLIMATE	3,983	348	OVERSPEND - Works delayed on site as a result of Covid and delays with Utility supply. There have also been contractor delays. Final account negotiations are ongoing and it is anticipated that the agreement will reduce the level of current forecast overspend.
5.10 Mandatory Disabled Facilities Grants	ADULT HEALTH & SOCIAL CARE	922	317	Reprofile - This budget linked to Disabled Facilities Grant Top Up (see item 4.7) - Net £0.6m saving across these used to mitigate overspend on Accelerated Adaptations Grant (see above 5.1)
Total		42,547	8,205	

6 - Forecast 2021/22

6.1 - Capital Projects with Forecasts Committed



6.2 - Forecasting Summary



Section 6.1 indicates 90% of Capital Business Units forecast by deadline. It should be noted that the failure to forecast by deadline in the Adult Social Care area was due to staff illness and additional work required to address emerging issues discussed in section 7 (below)

Graphs at 6.2 compare the actual expenditure incurred each month against that which was forecast in the prior month. As can be seen overall actual expenditure in June was £7.6m less than had been forecast. Key projects making this up are as follows:

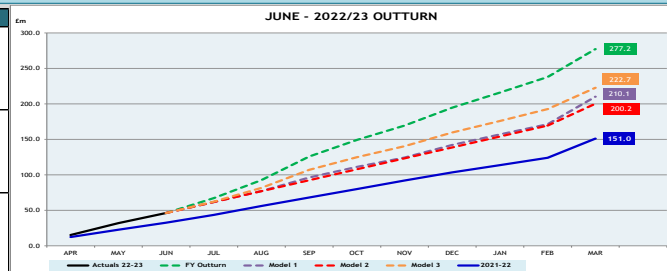
Transport Regeneration & Climate Change (-£2.5m) Slippage across Heart of The City II programme - (-£0.2m) - Slippage CAZ Programme, (-£0.3m) - Crookes/ Nether Edge Active Travel Neighbourhood, (-£0.2m) - Slippage Upper Don Valley Flood scheme (-£0.1m) - slippage on Clean Bus Technology Grant, (-£0.1m) slippage on TCF Nether Edge Wedge Cycling

Housing (-£0.35m) - Delay on payment re: Owlthorpe property purchases, (-£0.3m) slippage on Elementals Contract payments (-£0.25m) slippage on Adaptations contract payments, (-£0.25m) slippage on Single Staircase Tower Block payments, (-£0.2m) slippage on Roofing Replacements scheme payments

Education Children & Families (-£0.8m) - Delay on payment re: new SEND Free school, (-£0.7m) - Slippage on King Egnerets expansion scheme, (-£0.4m) Slippage on Talbot expansion scheme, (-£0.2m) slippage on Astrea Sports Pitch scheme, (-£0.1m) slippage on Mercia School payments, (-£0.1m) slippage on Gleadless Valley SEND scheme

6.3 - Forecasting Models

MODEL	HOW CALCULATED
Model 1	<ul style="list-style-type: none"> Extrapolating the percentage of spend against budget as at Month 2 (84%)
Model 2	<ul style="list-style-type: none"> Extrapolating the average rates of cash spend (£15.98m per month) (rather than % of spend against budget) for April - May. Adjusting for increased spending in month 12.
Model 3	<ul style="list-style-type: none"> Based on accuracy of forecasting on average actual expenditure per month is 12.24% below that forecast Therefore expenditure figures extrapolated at 87.76% of May rest of year forecast figures



The graph at 6.3 shows a potential spread of outturn positions compared to the current forecast based on the extrapolation models described. Also included is the profile of expenditure for 2021/22 as a comparator. As in previous years the forecast at this stage of the year far outstrips the predictions of the models which indicates continued over optimism in forecasting. The overall forecast outturn remains consistent with the prior month despite expenditure in June being only approximately 65% of the amount forecast.

Average monthly spend across the capital programme has been at £13.1m over the past 15 months. Current forecast require that to rise by 195% to £25.7m over the remaining 9 months of the year. Without a substantial recovery in spend rate in the next month projections would suggest the current forecast is still overstated by approximately £50m

Of all projects forecasting to spend over £1m this year (making up 80% of the total forecast outturn), just half of these by value of these are behind what would be a linear profile. A specific review of these 32 projects by project managers will be requested this month.

7 - Key Issues and Risks

Key Issues

- **Disabled Facilities Grant** - A pressure is emerging on Disabled Facilities Grant Expenditure due to dealing with a backlog of assessments post COVID, rising demand and increasing inflation in the construction sector. A situation is developing where the £5.1m p.a. received from Government in respect of this activity will no longer be sufficient to meet expenditure. Balances carried forward from previous years should provide mitigation this year but there is the potential that previous decisions to use the funding to support wider activity such as Telecare and High Value Equipment may need to be revisited with potential revenue pressures. Working groups have been established to address the issue.
- **Upper Don Valley Flood Alleviation Scheme** - Newly identified forecast overspend position of £1.1m - work ongoing with the Environment Agency to secure additional contribution to meet this,
- **Schools Condition Allocation** - All School Condition Allocations received (up to 22/23) potentially fully committed may require reprioritisation if further urgent works identified.

Key Risks

Key risk areas -

Schemes funded via time limited grants:

- **Active Travel Fund - Sheaf Valley Cycle Route (£2.3m)** - Deadline 31/03/22 - Update Funding deadline extended to September 22. However, offer of funding to deliver Phase 1 not yet received from MCA - agreed to progress at risk. **Update discussions underway with SYMCA to further extend funding deadline**
- **LAD II Green Homes Grant** - Approx. £4m of work to be delivered before 30 June 2022 (Deadline extended)- already supply chain and contractor availability issues identified. Tender returns on SCC/SYHA element of contract higher than available grant. Private sector contract now signed - regular monitoring meetings in place **UPDATE - Deadline now extended to end of September, this should provide sufficient time to deliver schemes.**

High levels of inflation and supply issues re: construction materials - could have a significant impact on cost and delivery timescales of capital schemes. Could also lead to increased contractor disputes. Several schemes are already identifying increases pre tender estimates and higher than anticipated tender returns i.e. Nethergreen School roof replacement, King Ecgberts school expansion scheme, Hemsworth New Build Council Housing Scheme

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Report to Policy Committee

Author/Lead Officer of Report: (Danielle Simmonite)

Tel: (07795488312)

Report of: Janet Sharpe

Report to: Finance Sub Committee

Date of Decision: 27/07/2022

Subject: Rough Sleeper Accommodation Programme-
July/Great Places Acceptance

Has an Equality Impact Assessment (EIA) been undertaken?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
If YES, what EIA reference number has it been given? <i>(Drafted but no number assigned yet)</i>				
Has appropriate consultation taken place?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
Has a Climate Impact Assessment (CIA) been undertaken?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
Does the report contain confidential or exempt information?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
If YES, give details as to whether the exemption applies to the full report / part of the report and/or appendices and complete below:-				
<p><i>“The (report/appendix) is not for publication because it contains exempt information under Paragraph (insert relevant paragraph number) of Schedule 12A of the Local Government Act 1972 (as amended).”</i></p>				

Purpose of Report:

The purpose of the report is to accept funding from the Rough Sleeping Accommodation Programme to be transferred to Great Places Registered Provider who will be delivering 8 units for move on accommodation for rough sleepers.

A total of £482,219 has been awarded for the proposal. This includes £399,000 grant funding for Great Places to deliver the units and £83,219 grant revenue funding to employ a Tenancy Support Worker to work full time with the tenants of the units.

Recommendations:

1. To accept grant funding of £83,219 by entering into a grant agreement with DLUHC, as detailed and set out within this report.

Background Papers:

(Insert details of any background papers used in the compilation of the report.)

Lead Officer to complete:-	
1	I have consulted the relevant departments in respect of any relevant implications indicated on the Statutory and Council Policy Checklist, and comments have been incorporated / additional forms completed / EIA completed, where required.
	Finance: (Mark Wassell)
	Legal: (Henry Watmough-Cownie)
	Equalities & Consultation: (Louise Nunn)
	Climate: <i>(na)</i>
	<i>Legal, financial/commercial and equalities implications must be included within the report and the name of the officer consulted must be included above.</i>
2	EMT member who approved submission: <i>(Ajman Ali, Executive Director of Operational Services)</i>
3	Committee Chair consulted: <i>Councillor Zahira Naz</i>
4	I confirm that all necessary approval has been obtained in respect of the implications indicated on the Statutory and Council Policy Checklist and that the report has been approved for submission to the Committee by the EMT member indicated at 2. In addition, any additional forms have been completed and signed off as required at 1.
	Lead Officer Name: <i>(Suzanne Allen)</i>
	Job Title: <i>(Head of Citywide Housing Services)</i>
	Date: <i>(19/07/2022)</i>

1. PROPOSAL

1.1 The proposal is to accept 3 year grant funding (£83,219) from DLUHC's Rough Sleeper Accommodation Programme and transfer this to Great Places for them to employ a tenancy support worker to support 8 units for rough sleeper move on accommodation

1.2 Background

The Department for Levelling Up, Housing and Communities (DLUHC) and Homes England have made funds available for bids to provide Move on Accommodation for rough sleepers or those at risk of rough sleeping. Revenue funding is also available for associated support services including tenancy support workers.

The majority of the funding is available for schemes which are completed and ready for use by April 2022. Revenue grant funding (£83,219) for associated support services is available for the financial years 2021/22, 2022/23 and 2023/24.

As part of the process the Council considered all options for delivering move on accommodation. We felt that our properties and future acquisitions would be better used for the wider cohort.

We also contacted several Registered Providers to consider whether they could deliver any units. Great Places were the only RP who felt they could deliver properties within the timeframe.

Sheffield City Council have therefore placed a bid on behalf of Great Places Registered Provider which has been successful. The proposal is broken down into two sections, a grant (£399,000) paid from DLUHC to Great Places for the delivery of the properties and also a revenue grant (£83,219) paid by DLUHC to SCC, who will then pay over to Great Places and for support services, discussed further below:

DLUHC Grant for Delivery to Great Places (£399,000):

- 6 purchase and repair units. These will be acquired on the open market and brought up to a lettable standard. They will be 1 bed units. These will be available for move on accommodation for 30 years as per the requirement of the bid.
- 2 properties to be repurposed from Great Places existing stock.

A total of £399,000 grant funding has been awarded for the above. This will be transferred directly from DLUHC to Great Places. SCC will have no involvement with this specific grant and no responsibility for the delivery.

- DLUHC Grant to SCC then paid to Great Places (£83,219)

1 x Full Time Tenancy Support Worker (21/22 to 2023/24)

The tenancy support worker will support individuals to feel happier and safer in their homes, reduce feelings of social isolation and develop community connections and personal goals. They will offer personalised support to help individuals achieve the following key outcomes; an improvement in levels of tenancy sustainment; an improvement in individual's feelings regarding their social wellbeing and general levels of health; increased levels of confidence individuals have in achieving their own personal aspirations; and move on into settled accommodation within 2 years and prevent return to the streets.

The DLUHC grant of £83,219 has been awarded for the above post over a three-year period which will be paid by annual payment profile as below:

2021/22	2022/23	2023/24
£16,251	£33,152	£33,816

The Council will upon receipt of this grant annually transfer it to Great Places to spend on a tenancy support worker. It is requested that approval is granted to:

Immediately transfer the 2021/22 funding of £16,251 to Great Places for them to employ a Tenancy Support Worker and approve the transfers of £33,152 in 2022/23 and £33,816 once these grant monies are received

There will be a grant agreement between the Council and DLUHC. This is a formal document which sets out the terms and conditions upon which the funding is provided. The responsibility for delivering the project will still remain with Great Places.

The properties will be used for rough sleepers to bridge the gap between temporary accommodation and a longer-term tenancy. Tenancies for these properties will be up to a maximum of 2 years and the support will be much higher than in a general needs placement of social housing.

When working with RP's on this the Council have initially outlined our preference for 1 bed properties across the city. We have also outlined the following criteria for properties to meet the needs of the cohort:

- Dispersed and not part of another scheme for vulnerable people
- Outside of the city centre and not within ½ a mile of the ring road
- No shared facilities
- Own separate entrance
- RP's to provide their own infrastructure including support workers.

These units will provide accommodation and floating support to rough sleepers who are ready for move-on accommodation. This will form a key part of the rough sleeper pathway and help users to prepare to live independently. We envisage that each Rough Sleeper will have been either in emergency accommodation or supported housing and be ready to move. Each tenancy will be for up to 2 years. The acquired properties will need to be available to rough sleepers for 30 years.

1.3 Related bids

The outcomes of the September round of funding has recently been announced. Within this round SCC have successfully bid for a private sector leasing scheme. This will be for 15 units and will have 2 tenancy support workers.

SCC will be responsible for the delivery of the scheme. We will go out to tender for private landlord to provide the units on a lease.

Fixed 2 year tenancies will be issued with individuals supported to further develop independent living skills. They will be supported to move from this accommodation to another tenancy of their choice that most meets their needs releasing the accommodation for another individual.

Support services will be provided in house by the Council enabling continuity of support where individuals have already established relationships with our rough sleeper services. This will form a key part of the rough sleeper pathway and enable users to prepare to live independently over a longer period than time limited floating support or very intensive support services.

We have also submitted a bid for the April round of funding, this is awaiting a decision.

2. HOW DOES THIS DECISION CONTRIBUTE ?

- 2.1 The proposal will meet the aim in the one-year plan to 'Support people with routes out of homelessness and rough sleeping with emergency and temporary accommodation in Sheffield.' It will provide 8 units which will be used for move on accommodation for rough sleepers.

Rough sleepers will be supported with their tenancy as part of the revenue aspect of the bid.

3. HAS THERE BEEN ANY CONSULTATION?

- 3.1 Rough sleepers have previously been consulted and have indicated a preference for dispersed properties. We have incorporated these views into our bid submission.

4. RISK ANALYSIS AND IMPLICATIONS OF THE DECISION

4.1 Equality Implications

4.1.1 A draft EIA has been completed with the information we have. However currently there are no direct negative impacts on any of the Protected Characteristic groups.

Regular updates will be carried out and if necessary the draft EIA will be updated and once this is agreed the EIA will be added to the Sharepoint site and a reference number will be generated.

4.2 Financial and Commercial Implications

4.2.1 Key features (not exclusive) of the draft grant terms are summarised below and a finalised version is awaited. The Grant Manager will need to read, understand and comply with all of the terms and conditions and ensure that there are no ongoing unfunded costs once the project has ended.

-The grant (£83,219) is ring-fenced to support delivery of the Rough Sleeping Accommodation Programme (RSAP) from 21/22 to 23/23 and will be paid to Great Places by SCC each year of the project.

-Grant to be spent as per funding principles in the Programme guidance (see link):

https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/907977/NSAP_Guidance.pdf

-Each RSAP Rent Dwelling must use the most appropriate form of tenancy as per the terms of the Tenancy Standard and the efficient use of public funds, and must be in compliancy with the eligible tenancies guidance. See link: <https://www.gov.uk/government/publications/next-steps-accommodation-programme-guidance-and-proposal-templates/letting-accommodation-funded-through-the-rough-sleeping-accommodation-programme-guidance-for-local-authorities-and-private-registered-providers>

- Grant recipient must meet agreed milestones, monitoring requirements and achieve the objectives set out in in the application, delivery plan/monitoring reports.

-Grant recipients to report progress v revenue schemes on a monthly basis for the duration of the programme, including progress v delivery milestones agreed.

-Comply with any additional DLUHC monitoring / reporting requirements

- Any changes to the grant, budget, delivery plan or delivery timings must be agreed by DLUHC in advance.

-Chief Internal Auditor is required to sign and return to DLUHC an audit declaration by 14/04/2021 (see details)

- Grant is subject to clawback if grant terms and conditions are not complied with.

Commercial Implications

All public sector procurement is governed by and must be compliant with the Grant Agreement and UK National Law. In addition, all procurement in SCC must comply with its own Procurement Policy, and internal regulations known as 'Contracts Standing Orders' (CSOs).

- CSO requirements will apply in full to the procurement of services, goods or works utilising grants. All grant monies must be treated in the same way as any other Council monies and any requirement to purchase / acquire services, goods or works must go via a competitive process

4.3 Legal Implications

4.3.1 Funding is to be provided under s31 of the Local Government Act 2003, paid to a local authority towards expenditure incurred by it, in this case for the purpose of Rough Sleeping Accommodation.

Utilising the grant money for the purposes set out in this report will assist the Council meeting its statutory obligations under the Housing Act 1996 and Homelessness Reduction Act 2017.

The Council is permitted to carry out such activities by the General Power of Competence contained in the Localism Act 2011, subject to any specific statutory restrictions, none of which apply in this particular matter.

Legal Services have had sight of the Agreement and do not need to comment further than what has been set out above.

4.4 Climate Implications

4.4.1 N/A

4.5 Other Implications

N/A

5. ALTERNATIVE OPTIONS CONSIDERED

5.1 Not Accept and Transfer the funding

Without accepting and transferring the funding the support element of the programme would not be deliverable. This option was therefore

discounted.

6. REASONS FOR RECOMMENDATIONS

6.1

- There is a high need for move on accommodation for rough sleepers in the city. Great Places will provide the units for the accommodation and employ the Tenancy Support workers. The responsibility for delivery will be on Great Places rather than the Council which reduces the risk.
- The Council has a large amount of pressure for 1 bed properties which comes from a wider group than just the need from rough sleeper. This will allow the Council to use our 1 bed stock for the wider group and not tie them up for 30 years for move on.
- By partnering with RPs and them providing the move on accommodation for rough sleepers with lower levels of need (medium), we can diversify the offer in Sheffield whilst focusing our own efforts on to our other forms of Temporary Accommodation. This will provide a better mix of accommodation across the city and give customers more of a choice

Equality Impact Assessment

Introductory Information

Budget/Project name

Rough Sleepers Accommodation Programme

Proposal type

- Budget
- Project

Reference number

Decision Type

- Cabinet
- Cabinet Committee (e.g. Cabinet Highways Committee)
- Leader
- Individual Cabinet Member
- Executive Director/Director
- Officer Decisions (Non-Key)
- Council (e.g. Budget and Housing Revenue Account)
- Regulatory Committees (e.g. Licensing Committee)

Lead Cabinet Member

Cllr Wood

Entered on Q Tier

- Yes
- No

Year(s)

<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
20/21	21/22	2022/23

EIA date

EIA Lead

- | | |
|---|--|
| <input type="radio"/> Adele Robinson | <input type="radio"/> Ed Sexton |
| <input checked="" type="radio"/> Annemarie Johnston | <input checked="" type="radio"/> Louise Nunn |
| <input type="radio"/> Bashir Khan | <input type="radio"/> Michael Bowles |
| <input type="radio"/> Beth Storm | <input type="radio"/> Michelle Hawley |
| <input type="radio"/> Diane Owens | <input type="radio"/> Rosie May |

Person filling in this EIA form

Danielle Simmonite

Lead officer

Suzanne Allen

Lead Corporate Plan priority

<input type="radio"/> An In-Touch Organisation	<input type="radio"/> Strong Economy	<input type="radio"/> Thriving Neighbourhoods and Communities	<input type="radio"/> Better Health and Wellbeing	<input checked="" type="radio"/> Tackling Inequalities
--	--------------------------------------	---	---	--

Portfolio, Service and Team

Cross-Portfolio

Yes No

Portfolio

Place

Is the EIA joint with another organisation (eg NHS)?

Yes No

Brief aim(s) of the proposal and the outcome(s) you want to achieve

The proposal is to bid for the Rough Sleeper Accommodation Programme. We have previously been successful on two rounds of funding. The first was for 8 units to be provided by Great places (registered provider) and the second was to provide 15 units by SCC via a private sector leasing scheme.

If successful the third round would allow SCC and a number of partners (Registered providers: Framework and Action and a Charity: Roundabout) to provide 39 units of move on accommodation.

This accommodation will be for rough sleepers who are ready for independent living but who will need support and therefore cannot go straight into general needs accommodation without a stepping stone between the two. It will provide essential accommodation as part of the pathway and allow people to move off the streets or out of temporary accommodation. The tenancies would be for a maximum of 2 years and tenants would be supported to move out of the properties into another more long term suitable option.

The EIA covers all three bids as it is the same programme and cohort.

Impact

Under the [Public Sector Equality Duty](#) we have to pay due regard to the need to:

- eliminate discrimination, harassment and victimisation
- advance equality of opportunity
- foster good relations

More information is available on the [Council website](#) including the [Community Knowledge Profiles](#).

Note the EIA should describe impact before any action/mitigation. If there are both negatives and positives, please outline these – positives will be part of any mitigation. The action plan should detail any mitigation.

Overview

Briefly describe how the proposal helps to meet the Public Sector Duty outlined above

This proposal will help to advance equality of opportunity by providing housing and support to rough sleepers. This will improve their quality of life and provide them the support they need to move towards more independent living.

Impacts

Proposal has an impact on

<input checked="" type="radio"/> Health	<input type="radio"/> Transgender
<input checked="" type="radio"/> Age	<input type="radio"/> Care

<input checked="" type="radio"/> Disability	<input type="radio"/> Voluntary/Community & Faith Sectors
<input type="radio"/> Pregnancy/Maternity	<input type="radio"/> Cohesion
<input checked="" type="radio"/> Race	<input type="radio"/> Partners
<input type="radio"/> Religion/Belief	<input checked="" type="radio"/> Poverty & Financial Inclusion
<input checked="" type="radio"/> Sex	<input type="radio"/> Armed Forces
<input type="radio"/> Sexual Orientation	<input type="radio"/> Other

Give details in sections below.

Health

Does the Proposal have a significant impact on health and well-being (including effects on the wider determinants of health)?

Yes No *if Yes, complete section below*

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Providing housing and support for rough sleepers will help improve their health and wellbeing. The impacts to the individuals will be high as many rough sleepers suffer with their physical and mental health when on the streets. The housing and wrap around support will help them to become more independent and support will be given when they are ready to move in or order for them to transition successfully to another tenancy.

Comprehensive Health Impact Assessment being completed

Yes No

Please attach health impact assessment as a supporting document below.

Public Health Leads has signed off the health impact(s) of this EIA

Yes No

Health Lead

Age

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

The age group who are predominately found rough sleeping are between 25 and 45. It is rare to get anyone over 65. We also see less under 25s than the middle age range. Those within the age 25-45 are therefore more likely to benefit from this programme due to them being more likely to be a rough sleeper.

Disability

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Pregnancy/Maternity

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Race

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

This cohort are predominantly white. Anyone found rough sleeping who is ready for move on will be considered but it is likely that the majority of tenants will be white due to them being more likely to be a rough sleeper.

Religion/Belief

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Sex

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

The majority of rough sleepers are male. They are therefore more likely to benefit from this programme due to them being more likely to be a rough sleeper.

Sexual Orientation

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Transgender

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Carers

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Voluntary/Community & Faith Sectors

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Cohesion

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Partners

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Poverty & Financial Inclusion

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

The project will help people to be housed from either living on the streets or in temporary accommodation. Tenants will also receive tenancy support and through this will be helped to live a more independent life including cooking for themselves and other activities to combat poverty.

Armed Forces

Staff

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Customers

Yes No

Impact

Positive Neutral Negative

Level

None Low Medium High

Details of impact

Other

Staff

- Yes No

Please specify

Impact

- Positive Neutral Negative

Level

- None Low Medium High

Details of impact

Customers

- Yes No

Please specify

Impact

- Positive Neutral Negative

Level

- None Low Medium High

Details of impact

Cumulative Impact

Proposal has a cumulative impact

Yes No

<input type="radio"/> Year on Year	<input type="radio"/> Across a Community of Identity/Interest
<input type="radio"/> Geographical Area	<input type="radio"/> Other

If yes, details of impact

Proposal has geographical impact across Sheffield

Yes No

If Yes, details of geographical impact across Sheffield

Local Partnership Area(s) impacted

All Specific

If Specific, name of Local Partnership Area(s) impacted

Action Plan and Supporting Evidence

Action Plan

Supporting Evidence (Please detail all your evidence used to support the EIA)

Consultation

Consultation required

Yes No

If consultation is not required please state why

We have consulted our partners and those who are interested have been included within the bid.

Are Staff who may be affected by these proposals aware of them

- Yes No

Are Customers who may be affected by these proposals aware of them

- Yes No

If you have said no to either please say why

This round of the bid is still indicative and may not be successful. If the bid is successful and the project goes ahead we would then work with rough sleepers through the pathway to allocate properties.

Summary of overall impact

Summary of overall impact

Overall the programme will have a positive impact on the lives of rough sleepers or those at risk of rough sleeping who will be given an opportunity to move on into these tenancies for up to two years with tenancy support in place.

Escalation plan

Is there a high impact in any area?

- Yes No

Overall risk rating after any mitigations have been put in place

- High Medium Low None

Equality Impact Sign Off (EIA Lead to complete)

EIAs must be agreed and signed off by the Equality lead Officer in your Portfolio or corporately. EIA signed off:

- Yes No

Date agreed

XX/XX/XXX

EIA Lead

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